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Date of Deposit: March 9, 2000

PATENT Attorney's Ref. No. <u>5759-54451</u>

WDN/SAS:an 3/9/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor(s): Peter Francis Joseph O'Hare and Nadia Michelle Normand

DELIVERY OF SUBSTANCES TO CELLS For:

Enclosed are:

22 pages of specification, 3 pages of claims, an abstract and a Combined \boxtimes Declaration and Power of Attorney.

Verified Statement(s) (Declaration) Claiming Small Entity Status: \boxtimes

Independent Inventor (37 CFR §§ 1.9(f) and 1.27(b)). Small Business Concern (37 CFR §§ 1.9(f) and 1.27(c)).

Siliai	Dustriess	CLAIMS AS F	FILED		Basic Fee
		Number	Number		
	Claims		Extra	Rate	\$345.00
For	Filed	Free	2	\$9.00	\$ 27.00
Total Claims	23	20	= 3	\$39.00	\$ 0.00
Independent Claims	1	3	= 0	\$39.00	\$372.00
TOTAL FILING FEE					

Please return the enclosed postcard to confirm that the items listed above have been received.

Bv

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL LEIGH & WHINSTON, LLP

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Docketing Secretary

Date



ppva v.	r Patentee:	O'Hare and Normand	Matte	r No. 5759-54451
Serial or Par	tent No.:	Unknown	Filed or Issued:	Herewith
For: DEI	LIVERY OF	SUBSTANCES TO CELLS		
§1.9(c) for pathent and	STATU named inven purposes of p nd Trademar	D STATEMENT (DECLARATION OF COMPANY OF COMPA	as an independent invented as an independent invented 41(a) and (b) of Title 35,	VENTOR or as defined in 37 CFR
<u>DEEL EICE</u>	OI CODOI	THE STORES		
Described in		The Specification Filed Herewith. Application No. Patent No.		
assign, gran independent	t, convey or inventor und	nted, conveyed or licensed and am license, any rights in the invention der 37 CFR §1.9(c) if that person hall business concern under 37 CFR	to any person who could nad made the invention, o	not be classified as an r to any concern which
		organization to which I have assig		
an obligation below:		ract or law to assign, grant, convey No such person, concern, or organ	, ,	the invention is listed
_			nization	the invention is listed
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Date

FULL NAME Nadia Michelle Normand

Appl	icant or Pate	entee:	O'Hare and	Normand		Matter No.	5759-54451
Serial or Patent No.: Unknown Filed or Issued: Herewith							
For:	DELIVE	RY OF	SUBSTANCES	TO CELLS			
	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §1.9(f) and §1.27(c)) — SMALL BUSINESS CONCERN						
I here	eby declare	that I as	m				
The owner of the small business concern identified below:							
\boxtimes						ified below:	
NAME OF CONCERN Phogen, Limited							
ADDRESS OF CONCERN 310 Cambridge Science Park, Cambridge CB4 OWG, United Kingdom						Kingdom	
defin Section included of en employed (2) copower	ed in 13 CF on 41(a) and ding those of apployees of oyed on a foncerns are or to control	FR §121 ad (b) of of its aff the busi full-time affiliate the other	.3-18, and reprof Title 35, Unifiliates, does not ness concern is to part-time or to es of each other er, or a third part-	duced in 37 CFR § ted States Code, in exceed 500 persons he average over the imporary basis durin when either, direct ty or parties control	concern qualifies as 1.9(d), for purposes a that the number of a. For purposes of the previous fiscal yearing each of the pay putly or indirectly, one is or has the power to conveyed to and now	of paying redu f employees of his statement, of the concern eriods of the fe concern cont o control both.	of the concern, (1) the number of the persons fiscal year, and rols or has the
conce	ern identifie	d above	with regard to t	he invention, entitle	conveyed to and rem	am with the sh	nan ousmess
	DELIVERY OF SUBSTANCES TO CELLS By inventor(s) Peter Francis Joseph O'Hare and Nadia Michelle Normand						
Desc	ribed in:		The Application Application No. Patent No.	Filed Herewith.	Filed Issued		
If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR §1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d), or a nonprofit organization under 37 CFR §1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having							
rights to the invention averring to their status as small entities. (37 CFR §1.27)							
FULL NAME Peter Francis Joseph O'Hare							
	ADDRESS 15 Beatrice Road, Oxted, Surrey RH8 OTL, United Kingdom NONPROFIT ORGANIZATION						
\square	NUUIVIUN	.L		DOSINESS CONC	ERIY LINUNI	FROFII OKG	ANIZATIUN

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

ADDRESS 231b Croydon Road, Caterham, Surrey CR3 6PG, United Kingdom

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	·
TITLE OF PERSON OTHER THAN OWNER	
ADDRESS OF PERSON SIGNING	
SIGNATURE	DATE